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How Mental Health Can Affect Legal Professionals

IN CONVERSATION WITH

Dr. Owen Bradfield and Desi Vlahos

Overview

- Introduction & context setting
- Dr Owen Bradfield to share PhD research
- Historical research in the legal profession (Australia)
- IBA Mental Wellbeing in the legal profession findings
- Similarities / challenges in both professions drivers of stress
- Impacts compounding/mitigating factors
- Regulation in the profession an Regulation more broadly
- Consequences for the professions
- Safeguarding against risk and injury







Dr Owen Bradfield Chief Medical Officer, MIPS MBBS(Hons), BMedSc(Hons), LLB, MBA, PhD, FRACGP Regulation in Need of Therapy? Relationships Between Doctors' Health, Medical Regulation, and Medical Negligence Claims in Australia: A Legal, Quantitative, and Qualitative Analysis

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Submitted in total fulfilment for the degree of Doctor of Philosophy

May 2023

Melbourne School of Population and Global Health The University of Melbourne



My PhD Research

Predictors of claims and complaints against doctors



Impact of **age** (years) on risk of medical negligence claims



Impact of **specialty** on risk of medical negligence claims





Impact of **personality type** on risk of medical negligence claims



Impact of **individual & work variables** on risk of medical negligence claims



Impact of regulatory notifications on doctors' health



Regulatory equipoise



Public protection

Paramount duty of medical regulator is to protect the public

Doctor's health

However, if the health of the doctor is not supported, this may subvert efforts to protect the public



Methodology



How unwell were doctors when they were notified?



Reasons for poor doctor health



Summary of findings

Doctors delayed or avoided accessing health care

This was due to fear of regulatory processes

As a result, doctors experienced worse health

Regulatory processes had positive and negative impacts

Despite the positive impacts, doctors would avoid seeking help in the future

Summary of findings

Some doctors credited regulatory sanctions and enforcement for keeping them well/ abstinent from drugs

Other doctors who relapsed felt like their relapse was treated as a professional misconduct issue rather than a health issue

Many doctors did not trust their treating doctor, the regulator, or regulatory processes

Many doctors felt that regulators did not understand the implications of the conditions they impose

Recommendations – regulatory reforms

Reduce delays

Improve communication channels

Staff trained in mental health and substance use disorders

"Therapeutic jurisprudence"

Better fund and integrate doctors' health services in regulatory pathways

2013 Beyond Blue Survey – results

Higher rates of psychological distress in doctors (3.4%) compared to the general population (2.4%) or other professional groups (0.7%)

Higher rates of psychological distress in doctors under 30 (5.9%) compared to the general population under 30 (2.5%) or other professionals under 30 (0.5%).

21% of respondents had a past history of a diagnosed mental illness, while 6% had a current diagnosis.

Higher rates of depression, anxiety and substance abuse compared to the general population.

Higher rates of suicidal ideation in the preceding 12 months (24% of doctors), compared to the general population (13.3%) or other professions (12.8%).

2013 Beyond Blue Survey – results

Younger doctors and female doctors reported higher levels of psychological and work stress, suicidal ideation and burnout.

Female students and Indigenous students were more likely to experience mental health challenges.

Reasons include stressful and demanding work experiences and environments

Other reasons include long working hours and poor work-life balance

"Stigmatising attitudes regarding the competence of doctors with mental health conditions, and their opportunities for career progression, persist"

2013 Beyond Blue Survey – recommendations

Better education and support for doctors and students, particularly when transitioning from study to work.

Addressing stigmatising attitudes, particularly in medical students early in their career, could not only remove a potential barrier to doctors seeking appropriate treatment for their own mental health issues, but also improve their ability to provide high standard care for patients with mental illness, and to

Current Trends: Wellbeing in the Legal Profession

Beaton Consulting / Beyond Blue Survey 2006 National Survey of Health and Wellbeing 2007 Brain & Mind Research Institute Report 2009 National Report on Attrition and Re-engagement 2013 Victorian Bar Quality of Working Life Survey 2018 Meritas Australia and New Zealand Wellness Survey 2019 VLSB+C Wellbeing Project 2020 IBA Wellbeing in the Legal Profession Survey 2021

National Survey of Health and Wellbeing 2007 (AUS)

- Covers the wider adult population aged 16 to 65 years
- Almost half of adult Australians have had a mental illness at some point in their life.
- About 20% have experienced mental illness each year.
- Survey data collected for Beyond Blue indicated that about 1 in 5 Australians (21%) had taken time off work in the previous year because they felt stressed, anxious, depressed or mentally unhealthy.

Beaton Consulting / Beyond Blue Survey 2006 (AUS)

- Survey of 7,500 professionals
- Professionals had higher than average depression scores than the general population
- 15.2% lawyers with moderate to severe symptoms of depression compared with 10.5% in other profession.
- Of professionals those working in law firms had the highest rates of depressive symptoms

Brain & Mind Research Institute Report 2009 (AUS)

- 33% of lawyers and 20% of barristers suffer disability and distress due to depression; they do not seek help and self medicate with alcohol.
- Alcohol abuse in legal profession is extremely concerning
- High rate of suicide and suicidal ideation among lawyers
- Law students and young lawyers most vulnerable
- 80% of disciplinary matters involving lawyers have an underlying mental health issue

National Report on Attrition and Re-engagement 2013 (AUS)

- The Law Council of Australia investigated why women leave the legal profession.
- Akey finding was that "long working hours and poor work-life balance impact both male and female practitioners.
- Most frequent and important reasons that people leave the legal profession are better work life balance, reduced stress and pressure and more flexibility to balance work and personal responsibilities.

Victorian Bar Quality of Working Life Survey 2018 (AUS)

- Levels of stress reported at the Victorian Bar were significantly higher than that reported in the benchmark sample.
- Approximately 68% of respondents agreed or strongly agreed that they experienced high levels of stress and pressure at work.
- The average agreement can be compared with 45% agreement found in the Quality of Work Life benchmark sample

Meritas Australia & New Zealand Wellness Survey 2019 (AUS)

- 63% have experienced or have someone close to them in the workplace experience depression
- 85% have experienced or had someone close to them in the workplace experience anxiety
- The greatest barriers to help seeking for depression or anxiety were that respondents felt they preferred to manage the condition themselves or they were worried about asking for help and what others might think of them.
- 49% said that if their firm offered more dialogue, resource or training around wellbeing in the law that they would be likely to use them

VLSB+ C Lawyer Wellbeing Project 2020 (AUS)

- 1. Acculturation early in career making it difficult for to achieve wellbeing.
- 2. Cultural and institutional factors making it hard to improve wellbeing of legal professionals.
- 3. Positivity about the direction of change in recent years.
- 4. Suggestions for improving wellbeing within the legal profession.

Main findings from surveys



Impact of stigma:

41 per cent would not discuss mental wellbeing concerns with their employer for fear it may have a negative impact on their career.

Lack of training: 82 per cent of institutions say they take mental wellbeing seriously only 16 per cent provide training for senior management.

WHO-5 Mental Wellbeing Index Scores: This Word Health Organization scale

allows comparisons across groups, regions, and with previous studies. For an individual, a score below 52 per cent is an indicator for a health professional to screen for depression, and suggests a more formal assessment of mental wellbeing problems is warranted. The average overall score of respondents to the IBA Survey of Individuals was 51 per cent.

Discrepancy in Wellbeing Index scores:

With disability

Ages 23-29



45

43

1 in 3 say their work has a negative, or extremely negative impact on their wellbeing

Reasons why people don't speak out:



32.1% Fear of being treated differently as a result



11

24.1% Employer does not sufficiently recognise mental wellbeing issues

17.2% Fear of not being believed/taken seriously



28% want to see improved workplace culture:

to create a culture of mutual respect and address poor behaviour.



the legal profession

Mental Wellbeing in the Legal Profession: **A Global Study**



A report prepared by the IBA Presidential Task Force on mental wellbeing in the legal profession



IBA Mental Wellbeing Principles for the Legal Profession

Mental wellbeing matters: The IBA Presidential Task Force on Mental Wellbeing in the Legal Profession surveys have laid bare the realities of a global crisis in lawyer mental wellbeing. No one jurisdiction or section of the profession is unaffected.

Mental wellbeing is not weakness: The notion that someone who is experiencing a mental health difficulty and/or poor levels of mental wellbeing is personally 'weak' or in some way unsuitable for legal practice must be refuted.

Raising awareness is fundamental: Many lawyers feel unable to speak about their mental wellbeing because of stigma attached to the subject, and fears for the impact on their career or professional standing. This needs to change.

3

9

A commitment to change, and regular continuing assessment, is needed: Having acknowledged the importance of protecting and promoting mental wellbeing for the profession, the next step is to make changes to tackle the current crisis.

5 Policies matter: A simple first step for all sections of the profession in achieving change is the adoption of a mental wellbeing policy.

Maintain an open dialogue and communication: Once a mental wellbeing policy has been implemented, it is vital that it is backed up by the fostering of an open dialogue and communication around mental wellbeing, and the approaches that are being taken to tackle the underlying issues.

Address systemic problems: The focus needs to be on the structural and cultural working practices within law which are problematic for mental wellbeing, and not on enhancing the 'resilience' of individual legal professionals.

Recognise intersectionalities: Issues of equality, diversity and inclusion have an effect on, and are arguably at the heart of, the mental wellbeing of the legal profession. The nature and cause of difficulties experienced by specific groups, including younger, female, ethnic minority and legal professionals with disabilities must be understood, acknowledged and tackled.

Share good practices: Good practices must be shared between individuals, institutions, sectors, jurisdictions and regional fora, in order to ensure that appropriate and healthy ways of working within the post-pandemic legal profession are disseminated and perpetuated. Worldwide and local gatherings of stakeholders is also vital.

Learn from others: The IBA Presidential Task Force on Mental Wellbeing in the Legal Profession is not the first group to focus on these issues. It is vital that the work and wisdom of other bodies working in this sphere are, and continue to be, shared and discussed.




Refreshed and rested, calm and relaxed, lowest scoring



AVERAGE INDEX SCORE: 51/100

5%	28%	32%	23%	12%
		■84-100 ■64-80 ■44-60 ■24-40	0-20	
			Base: Cheerful [3232]; Calm [3235]; Active [3230]; Refreshe	ed [3227]; Daily interest [3228]; Index [3208]

Overall Wellbeing index score

Acritas Part of Thomson Reuters

Clear split between younger and older ages



Base: Overall Index [3208]; Female/male [1909/1264]; Minority/not [459/2681]; Disability/not [103/3064]; Age [143-636].

Overall Wellbeing index score







Base: Overall [3208]; Workplaces [35-811]; Profession [41-1157]; Fora [52-1342].

The most commonly cited reasons

Key factors why work has a negative impact on wellbeing



NB – just those who reported a negative impact



■<25 ■25-29 ■30-34 ■35-39 ■40-44 ■45-49 ■50-54 ■55-59 ■60+

 \bigcirc

60%

Base: Reason why by age [26-186] NB Low bases for some

Which of the following have you experienced?



Having to work in own time most common issue experienced in past 12 months

28% 35% 32% 36% 28%		44% 48% 42% 44% 33%		19% 13% 18% 11% 23%	
32% 36% 28%		42% 44%		18% 11%	
36% 28%		44%		11%	
28%					
		33%		23%	
				23%	
35%	35%			18%	
41%	41%		60%	15%	
6	43%		23%	11%	
, D	42%		21%	14%	
30%	44%		18%	8%	
69%			21%	8% <mark>3%</mark>	
	41% % 30% 69%	41% 6 43% 5 42% 30% 44% 69%	41% 3 6 43% 43% 30% 42% 44% 69%	41% 30% 6 43% 23% 21% 21% 30% 44% 18% 69% 21%	

ase: Time pressures [3199]; Neglecting tasks [3195]; Breaks [3201]; Long hours [3192]; Feedback [2971]; Support [2745]; Expectations [2915]; Demands [3149]; Objectives [3036]; Control [3088]; Harassment [2899]; Targets [2976].

Which have the greatest impact, when experienced? Acritas Regularly or All/most of the time

Bullying/harassment is experienced by fewer than one in ten respondents, but when it is experienced, it is commonly the most impactful factor on wellbeing



Base: Harassment [290]; Time pressures [1914]; Targets [1636]; Breaks [1895]; Lack of support [963]; Hours [1995]; Task neglect [1724]; Competing demands [2183]; Workload [1502]; Unclear expectations [749]; Objectives [1003] Feedback [1309].

58%

Issues experienced more commonly by 25-34 yr olds Acritas **Part of Thomson Reuters** Generally decreasing with age One in ten under 30s have experienced 80% suicidal thoughts as a result of work-70% related mental wellbeing issues 60% 50% 40% 30% 20% 10% 0% Fatigue Disrupted Emotional Negative Family Suicidal Self-harm No issues Anxiety Depressed thoughts Sleep thoughts physical life/social upset health issues

A © Acritas 2020

45-49

50-54

40-44

30-34

<25

25-29

35-39

60+

55-59

—50%

Base: Health impacts experienced <25-60+ [145-637].

Issues experienced more commonly by 25-34 yr olds Acritas

Most tend to decline with age



Base: Undertaken as a result of work-related wellbeing <25 - 60+ [145-637].

APAC Institutions summary

48 responses, nearly all law firms



77%	69%	44%	27%	15%	15%
say mental health is a priority	have wellbeing initiatives in place	involve majority of snr managers in policy implementation	measure initiative impact	collect wellbeing data	have wellbeing training for all managers

42% Promote internal or external wellbeing activities

How has your employer response been to these?



"Highly ineffective" is the most common response in 7/12 areas

Competing demands	5%	20%		26%		17%	19%	14%
Unrealistic time pressures	3%	20%		22%		21% 27%		7%
Task neglect	3%	18%		28%		19%	22%	10%
Target pressure	3%	17%	17%			19%	27%	7%
Unclear expectations	6%	13%	13%		24%		33%	7%
Inability to take breaks	4%	14%		27%		20%	24%	11%
Long hours	3%	13%		22%			34%	8%
Lack of feedback	4%	11%	1	8%	24%		34%	10%
Lack of objective clarity	4%	11%		23%	22%		28%	13%
Workload control	2%	12%	2	22%	23%		31%	11%
Lack of support	4%	8%	15%	2	0%		47%	7%
Harassment/bullying	4%	7%	16%	14%	, D	52	2%	7%
 Highly effective Somewhat ineffective 			Somewhat effectiveHighly ineffective		 Neither effective nor ineffective Unsure 			

Base: Time pressures [934]; Neglecting tasks [639]; Breaks [762]; Long hours [677]; Feedback [319]; Support [369]; Expectations [254]; Demands [709]; Objectives [316]; Control [484]; Harassment [253]; Targets [675].

Seniors usually involved, but not trained themselves Acritas



For law firms and in-house staff

Are partners/senior managers involved in implementing policies?



- Yes one / small number involved
- Yes minority involved
- Yes majority involved
- Don't know

Are partners/senior managers had specific mental wellbeing training?



Base: Implementation involvement [108]; Training for managers [160].

What more should employers do?



Desired improvements largely focus on cultural change and intervention, better workload provision and increased support from management



What stops you talking with your employer?



Nearly half fear negative repercussions on their career for speaking up



Base: What stops you discussing with employer [3209]

"This is a wicked problem because the of the structural reality of legal work as an industry. It demands high workload and long hours just to be profitable. This means hiring young lawyers to take on unsustainable workloads and they will burn out or move on or quit. It's a conveyor belt of young people who do not see an improvement in their situation until they quit/can't take it anymore. Therefore, it works for a very small number of people who are more resilient and possibly who have some inexplicable coping mechanism that is not available/characteristic of most. You will continue doing these surveys into the next decade because there is no practicable solution to this problem that does not diminish the business model."

Australia: Male, Age 30-34, Law firm, Solicitor (11-50 Partners)

"Actually listen and act. They have a lot of initiatives in place but, whenever I or my colleagues have reported feeling overwhelmed by workload or conflicting critical timeframes such that we consider there to be a potential professional risk, we are simply told that we just need to get it done. It seems as though the wellbeing support is provided to promote the firm externally rather than to truly support the lawyers and administrators in managing their professional responsibilities while maintaining a healthy level of work/life balance."

Australia: Female, Age 40-44, Law firm, Senior Associate (11-50 Partners)



Thank you

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